

IN THE UNITED STATES PATENT
AND TRADEMARK OFFICE

Serial No. : 09/836,705
Applicants : Yuki ABE et al.
Filed : April 17, 2001
For : METHODS FOR PRODUCING ML-236B,
A PRAVASTATIN PRECURSOR,
USING A HOST CELL TRANSFORMED
WITH *mlcR*, A TRANSCRIPTION
FACTOR

Art Unit : 1652
Examiner : Kathleen M. Kerr
Docket No. : 01149/HG
Customer No.: 01933
Confirmation No.: 7090

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

ATTENTION: MAIL STOP AMENDMENT

S I R :

Submitted herewith are the following:

(1) A copy of Flaherty et al., Applied and Environmental Microbiology, Vol. 63, No. 10, 3995-4000 (1997), which is discussed in the AMENDMENT UNDER 37 CFR 1.111 that is being filed concomitantly herewith;

(2) Form PTO/SB/08B; and

(3) A check for \$180.00 is enclosed pursuant to 37 CFR

1.97(c).

Express Mail Mailing Label
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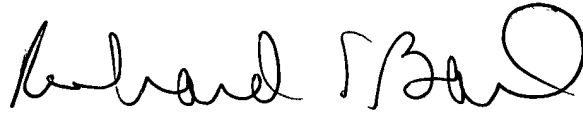
I hereby certify that this paper is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 with sufficient postage on the date indicated above and is addressed to the Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450


Dorothy DeFrancesco

In the event that this Paper is late filed, and the necessary petition for extension of time is not filed concurrently herewith, please consider this as a Petition for the requisite extension of time, and to the extent not tendered by check attached hereto, authorization to charge the extension fee, or any other fee required in connection with this Paper to Account No. 06-1378.

It is respectfully requested that the attached publication
be considered and made of record.

Respectfully submitted,



RICHARD S. BARTH
REG. NO. 28,180

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Encs.: (1) Form PTO/SB/08B
(2) Copy of publication identified on Form PTO/SB/08B
(2) Check for \$180.00

Substitute for Form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

Application Number

09/836,705

Filing Date

April 17, 2001

First Named Inventor

Yuki ABE et al.

Group Art Unit

1652

Examiner Name

Kathleen M. KERR

Sheet

1

of

1

Attorney Docket Number

01149/HG

OTHER DOCUMENTS - NON-PATENT LITERATURE DOCUMENTS

Examiner
Initials¹

Cite
No.¹

Include name of author (in CAPITAL LETTERS), title of article, title of item, date,
page(s), volume-issue number(s), publisher, city and/or country where published

T²

J.E. FLAHERTY and G.A. PAYNE; "Overexpression of aflR Leads to Upregulation of Pathway
Gene Transcription and Increased Aflatoxin Production in *Aspergillus flavus*", Applied
and Environmental Microbiology, Oct. 1997, pp. 3395-4000, Vol. 63, No. 10

Examiner
Signature

Date
Considered

* EXAMINER: Initial if document considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² Place a check here if English translation is attached.

DATE MAILED: SEPTEMBER 17, 2004